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November 16, 2006

David Saliwanchik

David R. Saliwanchik, Patent Attorney

*DFW*

INFORMATION DISCLOSURE  
STATEMENT  
Patent Application  
Docket No. BB-172  
Serial No. 10/588,099

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : *Not yet assigned*  
Applicant(s) : *Bringmann et al.*  
Serial No. : 10/588,099  
Filed : July 31, 2006  
For : Method for Producing Sorbicillactone A

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97 AND 1.98

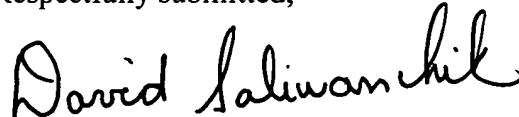
Sir:

The above-identified patent application was filed in the U.S. Patent Office as a national application under 35 USC §371. A copy of the International Search Report and copies of the references cited therein have been made available in the national stage file. However, for the examiner's convenience, the applicants are attaching a copy of the International Search Report as well as copies of the documents cited therein. Also attached is Form PTO/SB/08 listing the references.

In accordance with 37 CFR §1.56, the applicants hereby request that the references cited in the International Search Report and listed on the attached form PTO/SB/08 be made of record and considered in the examination of the subject application. If any additional fee is required, or to credit any overpayment, please use Deposit Account No. 19-0065.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,



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DRS/wrc

Attachments: Form PTO/SB/08 (2 pages)  
International Search Report  
Copies of references cited (4)



PTO/SB/08A (07-05)  
Approved for use through 07/31/2006.

Substitute for form 1449-PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

### Complete if Known

Application Number	10/588,099
Filing Date	July 31, 2006
First Named Inventor	Gerhard Bringmann
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	BB-172

Sheet 1 of 2

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	U1	US-			
	U2	US-			
	U3	US-			
	U4	US-			
	U5	US-			
	U6	US-			
	U7	US-			
	U8	US-			
	U9	US-			
	U10	US-			
	U11	US-			
	U12	US-			
	U13	US-			
	U14	US-			
	U15	US-			
	U16	US-			
	U17	US-			
	U18	US-			
	U19	US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	F1	EP	1 266 968	A2	12-18-2002	CJ Corporation	All	
	F2	WO	2004/026854	A1	04-01-2004	Müller et al.	All	
	F3							
	F4							
	F5							
	F6							

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/588,099	
			Filing Date	July 31, 2006	
			First Named Inventor	Gerhard Bringmann	
			Art Unit	Not yet assigned	
			Examiner Name	Not yet assigned	
			Attorney Docket Number	BB-172	
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	R1	BRINGMANN, G. <i>et al.</i> , "Sorbicillactone A: a Structurally Unprecedented Bioactive Novel-Type Alkaloid from a Sponge-Derived Fungus," <i>Sponges (Porifera): Progress in Molecular and Subcellular Biology: Marine Molecular Biotechnology</i> , 2003, pages 231-253, Vol. 37, Werner E.G. Müller, Editor.		
	R2	MÜLLER, W.E.G. <i>et al.</i> , "Traditional and Modern Biomedical Prospecting: Part II—the Benefits," <i>Evidence-based Complementary and Alternative Medicine</i> , 2004, pages 133-144, Vol. 1, Issue 2.		
	R3			
	R4			
	R5			
	R6			
	R7			
	R8			
	R9			
	R10			

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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